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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/808,142

**Applicant(s)**

STEIN ET AL.

**Examiner**

Stephen Alvesteffer

**Art Unit**

2175

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 18 August 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-40 and 42-92 is/are pending in the application.
- 4a) Of the above claim(s) 44, 48-57 and 65-81 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-40, 42, 43, 45-47, 58-64 and 82-92 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Amendment***

This Office Action is responsive to the amendment filed August 18, 2008. Claims 1, 2, 4, 11, 15, 16, 20, 22, 23, 35, 39, 40, 42, 43, 46, 47, 58, 62, 82, and 92 are amended. Claim 41 is cancelled. Claims 44, 48-57, and 65-81 are withdrawn due to restriction requirement. Claims 1, 46, 47, 58, 82, and 92 are independent. Claims 1-40 and 42-92 remain pending.

### ***Claim Objections***

**Claim 82** is objected to because of the following informalities: "recording **that** content in a database" should be corrected to —recording **the** content in a database—. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-40, 42, 43, 45, 46, and 87-92 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Independent claims 1, 46, 47, and 92 recite a "*navigation means arranged to enable the healthcare practitioner to choose the node to traverse next independently of the node suggested by the pathway means, the navigation means being further arranged to permit the healthcare practitioner to traverse a route across the map that misses out one or more nodes from the series of nodes representing a currently traversed patient care pathway*". This limitation of the invention could not be found in the specification. Applicant cites instant application drawings Figures 4d-f as teaching this limitation (Response, page 21). However, in paragraph [0094] of the instant application, Figures 4d-f is described as traversing every node, "*After considering information associated with the 'High-risk symptoms node' 466, the healthcare practitioner proceeds to the next stage within the workflow representation 424, namely a node 468 which enables the patient to be referred for surgery*". No other embodiments are described concerning Figures 4d-f. As best understood by the examiner, Figure 4f item 416 does not show the high-risk symptoms node 466 because it is an informational node in which no actions may be taken (see instant specification paragraph [0093]; "*No further actions are taken with respect to the 'High-risk symptoms node' 466 and so its name is not added to the pathway recordal portion 416*").

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4, 6, 7, 11-16, 20, 21, 35-37, 39-43, 45-47, 58-64, 82-85, and 87-92 are rejected under 35 U.S.C. 102(b) as being anticipated by Macrae et al. (hereinafter Macrae), United States Patent 5,786,816.

**Regarding claim 1**, Macrae teaches a graphical user interface (GUI) for assisting a healthcare practitioner in diagnosing and treating patients by interacting with the healthcare practitioner during progression through a stored clinical best practice workflow comprised of a plurality of interlinked steps (see Macrae column 1 lines 6-11; *"The present invention relates to providing graphic medical healthcare plans (protocols), and in particular a graphic user interface, for developing, viewing and implementing medical healthcare plans"*), the GUI comprising:

a page including a map for assisting the healthcare practitioner to navigate the stored clinical best practice workflow, the map comprising a plurality of patient care pathways, each patient care pathway conforming with best practice guidelines and comprising a series of interlinked nodes, wherein each node in the series has a unique relationship with a respective step in the stored best practice workflow (see Macrae Figure 1 and column 6 lines 26-31; *"In Flow Chart view 11, the process flow begins with a Start node, enters into the first Order nodes, and flows out to Result nodes. After the results are entered, the process flow continues on to a Flow Control node where the next step in the treatment is determined"*);

data entry means for entering clinical data relating to a particular selected node, the data entry means comprising display means for displaying, within a portion of the

page, a predetermined data entry request and a response made by the healthcare practitioner to the request (see Macrae Figure 3 and column 6 line 53 through column 7 line 8; *"a user can start the flow, enter order result into the various nodes, and move through the flow as the treatment progresses using the Flow Chart view instead of the Chart view if the user desires"*);

data recording means for storing the response, made by the healthcare practitioner to the request, in a data record (see column 2 lines 44-55; *"Processing means controls the storage means, input means and the display means in response to stored programs and input data"*);

pathway means arranged to use the response of the healthcare practitioner stored in the data record to graphically indicate a suggested node to traverse next within the stored best practice workflow (see Macrae column 7 lines 50-60; *"The Flow Control node contains rules that select a branch at a decision point in a template or plan, and estimates of the likelihood of branching down given paths. During patient charting, the Flow Control node suggests the next step to the healthcare provider based on the rules and the results entered in Result nodes"*; see also Macrae column 13 lines 20-30; *"These connections indicate possible paths or branches a plan takes during delivery, and are illustrated as arrows"*);

navigation means arranged to enable the healthcare practitioner to choose the node to traverse next independently of the node suggested by the pathway means, the navigation means being further arranged to permit the healthcare practitioner to traverse a route across the map that misses out one or more nodes from the series of

nodes representing a currently traversed patient care pathway (see Macrae column 19 lines 56-60; *"To force the plan to branch down a specific path, regardless of the result values and the flow control rules, a user opens the right-most active Flow Control node, select the rule governing the desired path, and click the Execute button. The plan will branch down the specified path"*; see also Macrae column 12 lines 36-40; *"The Flow Control node contains the rules that determine which set of orders are followed. Flow Control nodes are connected to one or more Order nodes. However, only one of the Order nodes will be executed"*); and

graphical means for graphically representing in the page a route traversed by the healthcare practitioner across the map (see Macrae column 19 lines 36-44; *"When a user takes a branch down a path that leads to a Plan node in the patient's chart, the Plan node is highlighted in both the Flow Chart and the patient Chart views"*; see also Macrae column 6 line 53 through column 7 line 8; *"a user can start the flow, enter order result into the various nodes, and move through the flow as the treatment progresses using the Flow Chart view instead of the Chart view if the user desires. Color-coding on the nodes show which ones are completed and which are in progress"*).

**Regarding claim 2**, Macrae teaches that the plurality of patient care pathways represent a complete stored clinical best practice workflow on a single page (see Figure 1).

**Regarding claim 3**, Macrae teaches that each node represents an action, decision or result within the stored clinical best practice workflow (see column 7 lines 27-34; *"There are five kinds of nodes: Start, Order, Results, Flow Control, and Exit"*).

**Regarding claim 4**, Macrae teaches that the data entry means comprises presentation means for presenting data relevant to a location of the selected node and selection means for enabling the healthcare practitioner to select at least some of that data (see column 11 line 28; *"Or1 node is selected by clicking it"*).

**Regarding claim 6**, Macrae teaches that the data entry means is arranged to use the entered data at a first node to determine further information required at a second node, linked to the first node (see column 18 lines 48-53; *"Each time an order result is entered, the rules in the Flow Control node are evaluated to see if enough information is available to branch to the next step in the plan. When all of the order results specified in a rule are entered, the rule is evaluated. If the rule is satisfied, the rule will become active and take the plan down the corresponding path"*).

**Regarding claim 7**, Macrae teaches updating means for updating any information related to the step in the stored clinical best practice workflow with entered data (see column 9 lines 57 and 58; *"Edit button 119 edits the detail of the selected order, including the order's result values when clicking"*).

**Regarding claim 11**, Macrae teaches analyzing means for analyzing the entered data and generating a list of actions associated therewith and listing means for listing the list of associated actions to the healthcare practitioner adjacent the interlinked nodes (see Figure 2, showing the interlinked nodes and a list of actions with associated cost analysis at the bottom).

**Regarding claim 12**, Macrae teaches that at least some of the nodes include information means providing a graphical indication that concealed clinical information



relating to the step in the stored best practice workflow associated with that node is available for presentation on the page, the information means being arranged to reveal the concealed clinical information on selective interaction with the nodes by the healthcare practitioner (see column 6 lines 38-42; *"The Zoom view is used to see the details inside the nodes of the template. Each node is magnified or expanded to show information contained within, as well as the relationships between the nodes in the flow chart. A user can use this view to examine the entire template"*).

**Regarding claim 13,** Macrae teaches that the graphical indication is a graphical icon (see Figure 2).

**Regarding claim 14,** Macrae teaches that the information means is arranged to provide a plurality of different levels of detail of information, in accordance with a selection made by the healthcare practitioner (see column 6 lines 38-42; *"The Zoom view is used to see the details inside the nodes of the template. Each node is magnified or expanded to show information contained within, as well as the relationships between the nodes in the flow chart. A user can use this view to examine the entire template"*).

**Regarding claim 15,** Macrae teaches action list means for generating a list of actions and presenting the same to the healthcare practitioner adjacent the interlinked nodes, the action list means being arranged to determine the list from analysis of the healthcare practitioner's navigation through the interlinked nodes (see Figure 2, showing the plurality of displayed interlinked nodes and a list of actions with associated cost analysis at the bottom).

**Regarding claim 16**, Macrae teaches that the action list means is arranged, at the end of traversal of the map, to present the list to the healthcare practitioner with options for the healthcare practitioner to confirm each action, and to determine the list of actions to be implemented from the healthcare practitioner's confirmation (see Figure 2, showing the plurality of displayed interlinked nodes and a list of actions with associated cost analysis at the bottom).

**Regarding claim 20**, Macrae teaches a new page linking means for linking a node at the end of a series of interlinked nodes within one page to a node within another different page (see Figure 23 and column 19 lines 45-51; *"When a user charts a patient plan into a Plan node, the previous plan is discontinued and the new plan is started. The view of the new plan will be used to continue charting"*).

**Regarding claim 21**, Macrae teaches that the new page linking means comprises a graphical icon and selection by the healthcare practitioner comprises interaction between an end-user navigational tool and the icon (see Figure 23 and column 19 lines 45-51; *"When a user charts a patient plan into a Plan node, the previous plan is discontinued and the new plan is started. The view of the new plan will be used to continue charting"*).

**Regarding claim 35**, Macrae teaches editing means for editing the nodes on a page, the editing means being arranged to update the stored workflow to reflect any change made to the page (see Figure 1 and column 6 lines 14-25; *"FIG. 1 illustrates an example of a Template Builder window with an open template"*).

**Regarding claim 36**, Macrae teaches that the editing means is arranged to enable the healthcare practitioner to add a new node and to specify the contents of the new node (see Figure 9 and column 8 lines 59-65; *"FIG. 9 illustrates adding an Order node Or1, Result node Re1 and Flow Control node FI1 to a template"*).

**Regarding claim 37**, Macrae teaches that the editing means is arranged to enable the healthcare practitioner to specify functionality associated with a node (see column 8 lines 59-65; *"The Order node Or1 contains the physician's orders, such as lab tests, nursing procedures, x-rays, prescriptions, and other kinds of treatments or actions. These generalized orders may be taken from a library and placed in Order node Or1"*).

**Regarding claim 39**, Macrae teaches that the editing means is arranged to enable the healthcare practitioner to control the positioning of the new node within the page and interconnection of the new node to the existing nodes (see column 6 lines 14-25; *"The template contains a number of graphic elements including: a start node, three triplets of an order node, a result node, a flow control node and an exit node. These graphical elements are positioned in window 10 in order to represent a medical healthcare treatment plan"*).

**Regarding claim 40**, Macrae teaches recording means for recording the route traversed by the healthcare practitioner across the map (see column 6 lines 26-31; *"In Flow Chart view 11, the process flow begins with a Start node, enters into the first Order nodes, and flows out to Result nodes. After the results are entered, the process flow*

*continues on to a Flow Control node where the next step in the treatment is determined").*

**Regarding claim 42,** Macrae teaches navigation analysis means, wherein information relating to each step in the process is cost quantifiable and the navigation analysis means is arranged to determine a total cost of the route traversed by the healthcare practitioner (see column 2 lines 26-38; *"it is desirable to provide an apparatus and method for providing a medical healthcare plan which will 1) reduce errors associated with communications between healthcare planners and providers; 2) allow for convenient modification of medical health treatment plans; 3) provide costs associated with each step in the medical health treatment plan, as well as the total cost of the medical health treatment plan"*).

**Regarding claim 43,** Macrae teaches that the navigation analysis means is arranged to analyse the performance of the healthcare practitioner through the stored best practice workflow (see column 6 lines 26-31; *"In Flow Chart view 11, the process flow begins with a Start node, enters into the first Order nodes, and flows out to Result nodes. After the results are entered, the process flow continues on to a Flow Control node where the next step in the treatment is determined"*).

**Regarding claim 45,** Macrae teaches that the GUI provides a user interface to a knowledge base storing the clinical best practice workflow (see column 2 lines 44-55; *"The display includes a plurality of graphic icon images, stored in the storage location, arranged on the display representing a medical treatment plan"*).

**Claim 46** recites a graphical user interface having substantially the same limitations as the GUI of claim 1. Therefore, claim 46 is rejected under the same rationale.

**Claim 47** recites a method having substantially the same limitations as the GUI of claim 1. Therefore, claim 47 is rejected under the same rationale.

**Regarding claim 58**, Macrae teaches a plurality of graphical user interfaces (GUIs), each GUI being arranged to assist a healthcare practitioner in diagnosing and treating patients by interacting with the healthcare practitioner during progression through a respective centrally-stored version of a clinical best practice workflow comprised of a plurality of interlinked steps (see Macrae column 1 lines 6-11; *"The present invention relates to providing graphic medical healthcare plans (protocols), and in particular a graphic user interface, for developing, viewing and implementing medical healthcare plans"*; see also Macrae column 8 lines 4-11; *"a physician may retrieve a template from the library that addresses a similar condition, modify the template as needed, and start the patient treatment using the modified template"*; see also Macrae column 31 lines 41-65; *"Every user may have their own library or link to a common library shared by others... Library items added at the top level are available to everyone within the network"*), and each GUI comprising:

a page including a map for assisting the healthcare practitioner to navigate the respective centrally-stored version of the clinical best practice workflow, the map comprising a plurality of patient care pathways, each comprising a series of interlinked nodes, wherein each node in the series has a unique relationship with a respective step

in the respective centrally-stored version of the clinical best practice workflow (see Macrae Figure 1 and column 6 lines 26-31; *"In Flow Chart view 11, the process flow begins with a Start node, enters into the first Order nodes, and flows out to Result nodes. After the results are entered, the process flow continues on to a Flow Control node where the next step in the treatment is determined"*);

editing means for enabling the healthcare practitioner to edit at least some of the nodes on the page (see Figure 1 and column 6 lines 14-25; *"FIG. 1 illustrates an example of a Template Builder window with an open template"*); and

updating means arranged to update the plurality of interlinked steps of the respective centrally-stored version of the clinical best practice workflow with any corresponding changes made to the respective nodes on the page by the healthcare practitioner (see column 9 lines 57 and 58; *"Edit button 119 edits the detail of the selected order, including the order's result values when clicking"*).

**Claims 59-64** recite a GUI having substantially the same limitations as the GUI of claims 35-39. Therefore, the claims are rejected under the same rationale.

**Regarding claim 82**, Macrae teaches a method of constructing a graphical user interface for assisting a healthcare professional in diagnosing and treating patients, the method comprising:

collating content regarding a clinical best practice workflow (see Macrae column 7 lines 22-26; *"Before a user can create a template, treatment work flow must be defined; that is, the order in which treatment activities are carried out for a given condition"*);

recording the content in a database as a series of steps of a hierarchically structured clinical best practice workflow (see Macrae column 16 lines 22-30; templates are created and saved); and

automatically generating a graphical representation of the hierarchical clinical best practice workflow from the content recorded in the database, which can be used to guide a healthcare practitioner progressively through the clinical best practice workflow (see Macrae column 1 lines 6-11; *"The present invention relates to providing graphic medical healthcare plans (protocols), and in particular a graphic user interface, for developing, viewing and implementing medical healthcare plans"*);

the graphical representation comprising a page including a map for assisting the healthcare practitioner to navigate the stored clinical best practice workflow, the map comprising a plurality of patient care pathways, each patient care pathway conforming with best practice guidelines and comprising a series of interlinked nodes, wherein each node in the series has a unique relationship with a respective step in the hierarchical clinical best practice workflow structure (see Macrae Figure 1 and column 6 lines 26-31; *"In Flow Chart view 11, the process flow begins with a Start node, enters into the first Order nodes, and flows out to Result nodes. After the results are entered, the process flow continues on to a Flow Control node where the next step in the treatment is determined"*).

**Claims 83-85** recite a method having substantially the same limitations as the GUI of claim 1. Therefore, the claims are rejected under the same rationale.

**Regarding claim 87**, Macrae teaches that said clinical information is revealed on the page by selection of the node itself within the GUI by the healthcare practitioner (see Figure 14 and column 10 lines 50-55; *"Double-clicking Results node Re1 presents Result Node detail box 140"*).

**Regarding claim 88**, Macrae teaches that selective interaction with the node by the healthcare practitioner comprises interaction between an end-user navigational tool and the icon (see Figure 14 and column 10 lines 50-55; *"Double-clicking Results node Re1 presents Result Node detail box 140"*).

**Regarding claim 89**, Macrae teaches that the graphical means is arranged to graphically indicate previously executed steps in the best practice workflow to the healthcare practitioner (see column 19 lines 36-44; *"When a user takes a branch down a path that leads to a Plan node in the patient's chart, the Plan node is highlighted in both the Flow Chart and the patient Chart views"*).

**Regarding claim 90**, Macrae teaches that previously executed steps in the best practice workflow are graphically indicated to the healthcare practitioner (see column 19 lines 36-44; *"When a user takes a branch down a path that leads to a Plan node in the patient's chart, the Plan node is highlighted in both the Flow Chart and the patient Chart views"*).

**Regarding claim 91**, Macrae teaches interacting with a node displaying a graphical indication that concealed clinical information relating to the step in the stored best practice workflow associated with the node is available, thereby revealing the concealed clinical information in use (see column 9 lines 38-41; *"By double-clicking*



*Order node Or1 in the triplet shown in FIG. 9 or 10. The Order Node Detail dialog box 110 appears showing the list of orders (i.e., content) in Order node Or1").*

**Claim 92** recites a GUI having substantially the same limitations as the GUI of claim 1. Therefore, claim 92 is rejected under the same rationale.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Macrae (5,786,816) *supra*.

**Regarding claim 5**, Macrae teaches every limitation of claim 5 except that the presentation means comprises a plurality of drop-down lists of location-specific information. Although Macrae does not teach using a plurality of drop-down lists to edit location-specific information, Macrae does disclose the use of drop-down lists (see Figure 21 and column 14 lines 40-46; *"For this example, click the At Probability drop-down arrow button 213 and select 10 as the percentage"*). Drop-down lists are a standard user interface component for use in data entry. Macrae makes use of drop-down lists in some instances. It would have been an obvious design choice for one of ordinary skill in the art at the time the invention was made to use a plurality of drop-down lists to edit location-specific information.

Claims 8-10, 28-34, and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Macrae (5,786,816) *supra* and DeBusk et al. (hereinafter DeBusk), United States Patent 6,314,556.

**Regarding claim 8**, Macrae teaches every limitation of claim 8 except means for converting the entered data into a classification code representing that data. The use of standard classification codes was well known in the healthcare industry at the time the invention was made. DeBusk mentions the use of standardized codes within his healthcare application (see DeBusk column 13 lines 28-43; *"the Procedure Attributes field 316 is provided which will allow the user to select standardized procedure codes, such as the AMA IC9 code and description, for the procedure to be represented by the pathway"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to use standardized classification codes in a healthcare application in order to distinctly identify information.

**Regarding claim 9**, Macrae/DeBusk teaches that the classification code comprises a standard classification code describing a complete range of possible data inputs relevant to the subject of the stored clinical best practice workflow (see DeBusk column 13 lines 28-43).

**Regarding claim 10**, Macrae/DeBusk teaches that the classification code represents one of the group comprising a diagnosis, a symptom, an action, a treatment and an operative procedure (see DeBusk column 13 lines 28-43).

**Regarding claim 28**, Macrae/DeBusk teaches searching means for searching an externally accessible knowledge base, the searching means being arranged to convert a selected information topic (see Macrae column 32 lines 37-41; *"The Find Item method 410 allows a client application to search through the library to find all the items that match the specified attributes and search criteria. The method returns a container holding the matching library items, or actually references to the items"*) into a predetermined classification code representing that topic and to transmit that classification code within an information request to the knowledge base for relevant information contained therein (see DeBusk column 13 lines 44-59; *"This function is provided with a database search capability, by the Search button 318, which allows the user to search a pre-configured procedure code database for the appropriate procedure information"*).

**Regarding claim 29**, Macrae/DeBusk teaches that the classification code comprises a standard classification code describing a complete range of possible data inputs relevant to the subject of the stored best practice workflow (see DeBusk column 13 lines 28-43; *"the Procedure Attributes field 316 is provided which will allow the user to select standardized procedure codes, such as the AMA IC9 code and description, for the procedure to be represented by the pathway"*).

**Regarding claim 30**, Macrae/DeBusk teaches that the classification code represents one of the group comprising a diagnosis, a symptom, an action, a treatment and an operative procedure (see DeBusk column 13 lines 28-43; *"the Procedure Attributes field 316 is provided which will allow the user to select standardized*

*procedure codes, such as the AMA IC9 code and description, for the procedure to be represented by the pathway”).*

**Regarding claim 31,** Macrae/DeBusk teaches that the searching means is arranged to receive a response to the information request and display the results of the search to the healthcare practitioner (see Macrae column 32 lines 37-41; *“The Find Item method 410 allows a client application to search through the library to find all the items that match the specified attributes and search criteria. The method returns a container holding the matching library items, or actually references to the items”*).

**Regarding claim 32,** Macrae/DeBusk teaches that the searching means is arranged to receive a response to the information request and use the response to determine a relevant page of a plurality of pages for display to the healthcare practitioner (see Macrae column 32 lines 37-41).

**Regarding claim 33,** Macrae/DeBusk teaches that the searching means is arranged to display a plurality of information topics to the healthcare practitioner and to enable selection of at least some of these information topics, each information topic being related to the current node location of the healthcare practitioner within the current page (see Macrae column 32 lines 37-41).

**Regarding claim 34,** Macrae/DeBusk teaches that the searching means is arranged to enable the healthcare practitioner to enter additional information topics not displayed by the searching means (see Macrae column 32 lines 37-41).

**Regarding claim 38,** Macrae/DeBusk teaches that the editing means is arranged to enable the healthcare practitioner to add or edit a classification code

associated with the contents of a node (see Macrae column 6 lines 14-25; *"FIG. 1 illustrates an example of a Template Builder window with an open template"*, the Template Builder allows users to add any node and the contents of the added node).

Claims 17-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Macrae (5,786,816) *supra* and Balint et al. (hereinafter Balint), United States Patent 5,542,024.

**Regarding claim 17**, Macrae teaches every limitation of claim 17 except for a note recordal means for recording textual notes generated by the healthcare practitioner relating to a particular node, the note recordal means being arranged to link the note with the particular node such that the stored note is retrievable when the healthcare practitioner has navigated to that particular node. Balint teaches an expert system in which users can record textual notes that will also be visible to other users of the system (see Balint claims 21 and 22; *"means for creating a note data base record to be linked to a currently displayed decision node in the expert system in response to the selection of a write representative icon displayed by said Graphical User Interface"* and *"includes means to add a read representative icon to said Graphical User Interface display whenever one of said note data base records has been linked to the decision node of the knowledge record then being displayed"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to allow textual notes to be attached to workflow nodes in order to provide an intuitive method of knowledge exchange between users of the system.

**Regarding claim 18**, Macrae/Balint teaches that the note recordal means is arranged to record a variation of the stored clinical best practice workflow at a particular node as determined by the healthcare practitioner (see Balint claims 21 and 22).

**Regarding claim 19**, Macrae/Balint teaches feedback generation means for converting a note determined by the healthcare practitioner into a transmittable message and for transmitting the message to another healthcare practitioner having access to a version of the GUI (see Balint claims 21 and 22).

Claims 22-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Macrae (5,786,816) *supra* and Campbell et al. (hereinafter Campbell), United States Patent 6,047,259.

**Regarding claim 22**, Macrae teaches every limitation of claim 22, but does not explicitly disclose that the GUI has access to an Electronic Patient Record Management System and the GUI further comprises an EPRMS management means for obtaining and presenting details of selected electronic patient record in a portion of the page. Campbell teaches an interactive diagnosis and treatment system that accesses electronic patient records stored on a server (see Campbell column 14 lines 19-29; *"When the user selects a screen for display, the patient data in the display is drawn from the current patient records on the server"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to access patient record information as taught by Campbell in the invention of Macrae so that healthcare practitioners do not have to re-enter data that is already accessible electronically.

**Regarding claim 23**, Macrae/Campbell teaches that the EPRMS management means further comprises population means for populating one or more nodes with at least some of the details of a selected electronic patient record, thereby reducing any required data entry at that node (see Campbell column 14 lines 19-29).

**Regarding claim 24**, Macrae/Campbell teaches that the EPRMS management means is arranged to use the details of the selected electronic patient record to determine what information is required at a node from the healthcare practitioner (see Campbell column 14 lines 30-35; *"When the user initiates the exam, the server evaluates the observations and determines which questions and warnings should be displayed to the user. As the user accesses screens in the exam, these warnings or questions form part of the display screen. Thus, the display changes based on prior recorded observations"*).

Claims 25-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Macrae (5,786,816) *supra* and Greenberg et al. (hereinafter Greenberg), United States Patent Application Publication 2004/0039602.

**Regarding claim 25**, Macrae teaches every limitation of claim 25 except referral means for generating a referral message, the referral means being provided at a node and using information associated with the node to populate at least some of the referral message on selection by the healthcare practitioner. Greenberg teaches a computerized system that assists a healthcare professional in accessing patient medical record data, following clinical pathway protocols, and entering data (see Greenberg

paragraph [0005]; *"the system helps to ensure that the patient is properly evaluated, all pertinent information is gathered, etc. and that all appropriate treatment options are considered. Additionally, patient medical record data is available, and examination data is recorded, at the point of care. Optionally, the system may store a protocol database accessible by the examination and/or treatment modules to guide the clinician through examination and treatment according to predefined protocols. For example, these treatment protocols can be established on the basis of clinical pathways, research protocols, or cost of care"*). Greenberg also teaches that the invention is capable of generating and storing referrals (see Greenberg paragraph [0007]; *"The system is capable of generating medical and related records (collectively, "medical records"), such as examination notes, orders, bills, referral letters, etc., by incorporating relevant data stored by the system into predefined templates, such as Microsoft Word or Excel documents"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to generation, storing, and accessing of referrals as taught by Greenberg in the invention of Macrae so that all relevant information about a patient is accessible from the same system.

**Regarding claim 26**, Macrae/Greenberg teaches that the referral means comprises a graphical icon and selection by the healthcare practitioner comprises interaction between a user navigational tool and the icon (see Figure 1, Macrae's invention makes extensive use of icons for interaction and navigation).

**Regarding claim 27**, Macrae/Greenberg teaches that the referral means is arranged to use information obtained from an electronic patient record to populate



automatically at least some of the referral message (see Greenberg paragraph [0007]; *"The system is capable of generating medical and related records (collectively, "medical records"), such as examination notes, orders, bills, referral letters, etc., by incorporating relevant data stored by the system into predefined templates, such as Microsoft Word or Excel documents"*).

Claim 86 is rejected under 35 U.S.C. 103(a) as being unpatentable over Macrae (5,786,816) *supra* and Huyn et al. (hereinafter Huyn), United States Patent Application Publication 2002/0035486.

**Regarding claim 86**, Macrae teaches every limitation of claim 86 except that the editing means is arranged such that its use by the healthcare practitioner is restricted by permissions. Huyn teaches a computerized clinical questionnaire system that makes use of permissions (see Huyn paragraph [0064]; *"once the user has submitted the response data, he or she cannot modify the data without permission from the questionnaire administrator"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to use permissions as taught by Huyn to regulate the use of the invention taught by Macrae to prevent unauthorized changes from being made to the system.

### ***Response to Arguments***

Claims 22, 23, and 92 have been amended to correct minor informalities. Accordingly, the objections to claims 22, 23, and 92 are withdrawn.

Applicant asserts that "there is no disclosure in Macrae of the GUI *graphically indicating* a suggested next node" (original emphasis) (Response, page 22). The examiner respectfully disagrees.

Macrae column 7 lines 50-60 state, "*The Flow Control node contains rules that select a branch at a decision point in a template or plan, and estimates of the likelihood of branching down given paths. During patient charting, **the Flow Control node suggests the next step to the healthcare provider** based on the rules and the results entered in Result nodes*" (emphasis added). It is inherent in Macrae's invention that the suggested next node is graphically indicated. Macrae's invention is directed to a graphical user interface, and there is no other way suggested by the disclosure, besides graphically, that the next step could be suggested to the healthcare provider.

Applicant asserts that Macrae "does not anticipate the function of the pathway means as defined in amended claim 1 because displaying a **predetermined** most probable path is not equivalent to suggesting a next step **based on data entered by the healthcare practitioner** during progression through the workflow" (original emphasis) (Response, page 22). The examiner respectfully disagrees.

Macrae does not suggest a next step based on the probability values. Rather, Macrae suggests the next step "based on the rules and the results entered in Result nodes" (see Macrae column 7 lines 50-60).

Applicant asserts that "[t]here is no disclosure in Macrae of the GUI **graphically indicating a suggested next node**, and then the user **choosing** the next node independently of that suggestion" (original emphasis) (Response, page 23). The examiner respectfully disagrees.

Claim 1 of the instant application requires a "navigation means **arranged to enable** the healthcare practitioner to choose the node to traverse next independently of the node suggested by the pathway means" (emphasis added). Macrae column 19 lines 56-60 teach, *"To force the plan to branch down a specific path, regardless of the result values and the flow control rules, a user opens the right-most active Flow Control node, select the rule governing the desired path, and click the Execute button. The plan will branch down the specified path"*. Therefore, Macrae specifically discloses a navigation means arranged to enable the healthcare practitioner to choose the node to traverse next independently of the node suggested by the pathway means.

Applicant further asserts that Macrae does not describe **"graphically indicating a suggested next node**, and then enabling the user to **choose** the next node **independently** of that suggestion" (original emphasis) (Response, page 23). The examiner respectfully disagrees.

As noted above, Macrae column 7 lines 50-60 states, *"During patient charting, the Flow Control node suggests the next step to the healthcare provider based on the rules and the results entered in Result nodes"* (emphasis added). As further noted above, it is inherent that the suggested next step is graphically indicated. Macrae

column 19 lines 56-60 teaches, "*To force the plan to branch down a specific path, regardless of the result values and the flow control rules, a user opens the right-most active Flow Control node, select the rule governing the desired path, and click the Execute button. The plan will branch down the specified path*". This step can be performed by the healthcare practitioner after the Flow Control node suggests the next step.

Applicant asserts that "[t]here is no disclosure in Macrae of the user being able to traverse a route through the plan that *misses out* one or more nodes in a series of interlinked nodes" (original emphasis) (Response, page 24). The examiner respectfully disagrees.

As a preliminary note, there is no disclosure in the specification reciting traversing a route through the plan that "misses out" one or more nodes. See 35 USC § 112 rejection *supra*.

Macrae teaches branching down a specific path (see Macrae column 19 lines 56-60). Doing so would "miss out" on an entire branch of nodes. Macrae column 12 lines 36-40 further discloses, "*The Flow Control node contains the rules that determine which set of orders are followed. Flow Control nodes are connected to one or more Order nodes. However, only one of the Order nodes will be executed*". Therefore, the traversed path will "miss out" on one or more Order nodes.

Applicant further states that Macrae "is concerned with **predefining** the order in which the various steps of the plan are to be carried out" (original emphasis) (Response, page 24). Applicant directs to Macrae column 7 lines 24-26, column 7 lines 61-63, and column 7 line 64 through column 8 line 3. However, examiner notes that the cited portions of Macrae are directed only to the template creation embodiment of Macrae's invention. Macrae also teaches a patient charting embodiment (see Macrae column 18) in which the predefined template is actually used and the order in which the various steps of the plan are to be carried out is **not predefined**.

Applicant further asserts that "with the present invention, the possible routes through the map are limitless" (original emphasis) (Response, page 24), and that Macrae teaches "a limited number of predefined paths" (original emphasis) (Response, page 24). Examiner notes that there is no basis for this assertion in the disclosure of the instant application, nor is this distinction expressed in the recited claims.

Applicant asserts that Macrae does not describe centrally-stored workflows. The examiner respectfully disagrees.

Macrae describes creating workflow templates and storing them in a common library shared by others in a network environment (see Macrae column 8 lines 4-11; "a physician may retrieve a template from the library that addresses a similar condition, modify the template as needed, and start the patient treatment using the modified template"; see also Macrae column 31 lines 41-65; "Every user may have their own

*library or link to a common library shared by others... Library items added at the top level are available to everyone within the network").*

Applicant further asserts that "Macrae does not describe a plurality of GUIs capable of editing and updating respective *centrally-stored versions* of a workflow" (original emphasis) (Response, page 26). The examiner respectfully disagrees.

As established above, Macrae does teach centrally-stored workflows. Applicant cites Macrae column 33 lines 55-64 as showing that every user in Macrae accesses the same version of the template. However, examiner disagrees with this characterization of Macrae's invention. The cited portion of Macrae only discloses a locking mechanism to prevent more than one user from editing the same template at the same time. Macrae column 8 lines 4-11 teaches, "*a physician may retrieve a template from the library that addresses a similar condition, modify the template as needed, and start the patient treatment using the modified template*". Macrae column 31 lines 41-65 further teaches, "**Every user may have their own library** or link to a common library shared by others... *Library items added at the top level are available to everyone within the network. Library items placed in the next level down are available to all users working in that type of facility (e.g., Nursing Home or Rehabilitation Center). Items added at the next level down are available to all users within the specific facility. Library items added at the lowest level are only available to the individual user*" (emphasis added). This portion of Macrae's invention is illustrated in Macrae Figure 29. Therefore, Macrae also has the same advantages as the instant invention – "allowing individual users, or groups

of users, to edit their own centrally-stored versions of the workflow, changes made to the workflow may only be visible by the respective user or group of users of that particular centrally-stored version. This enables local variations of the workflow to be created to accommodate, for example, different courses of treatment favoured by different hospitals" (Response, page 26).

Applicant asserts that Macrae does not describe "automatically generating a graphical representation of the hierarchical clinical best practice workflow from the content recorded in the database" (Response, page 27). The examiner respectfully disagrees.

Applicant asserts that in Macrae, the graphical representation must be generated first, then the content is added, and thus the graphical representation is generated manually, not automatically. The examiner disagrees with this characterization of Macrae.

Macrae is directed to "*providing graphic medical healthcare plans (protocols), and in particular a graphic user interface, for developing, viewing and implementing medical healthcare plans*". Macrae teaches building templates for patient care (see Macrae column 7), in which content is added. Macrae also teaches the actual use of the templates in patient care (see Macrae column 18), in which a graphical representation is automatically generated. Inherently, the templates must be created before they can be used. Also inherently, the graphical representation is generated automatically by the application using the stored templates.

***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen Alvesteffer whose telephone number is (571)270-1295. The examiner can normally be reached on Monday-Friday 9:30AM-6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Bashore can be reached on (571)272-4088. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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